

01/08/02

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No

4085-251-27

First Inventor or Application Identifier

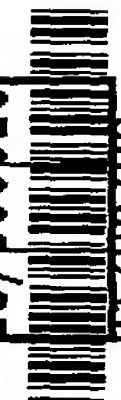
Alison L. SPARKS

Title

DENDRITIC CHEMILUMINESCENT SUBSTRATES

JC698 U.S. PTO

10/038626



01/08/02

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
☐ Applicant claims small entity status.
2. ☒ Specification Total Pages **64**
3. ☒ Drawing(s) (35 U.S.C. 113) Total Sheets **52**
4. ☐ Oath or Declaration Total Pages
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 16 completed)
5. ☐ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

ACCOMPANYING DOCUMENTS

6. ☐ Assignment Papers (cover sheet & document(s))
7. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
8. ☐ English Translation Document (if applicable)
9. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
10. ☐ Preliminary Amendment
11. ☒ White Advance Serial No. Postcard
12. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
13. ☐ Request for Priority
14. ☒ List of Inventors' Names and Addresses
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no.:

Prior application information: Examiner:

Group Art Unit:

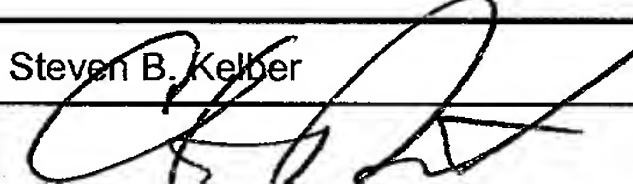
17. Amend the specification by inserting before the first line the sentence:

☐ This application is a ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP)
of application Serial No. Filed on☒ This application claims priority of provisional application Serial Nos. 60/259,870 and 60/286,383
Filed January 8, 2001 and April 26, 2001, respectively**18. CORRESPONDENCE ADDRESS**Supervisor, Patent Prosecution Services
PIPER MARBURY RUDNICK & WOLFE LLP
1200 Nineteenth Street, N.W.
Washington, D.C. 20036-2412
Telephone No. (202) 861-3900
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Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	JAN. 8, 2002
Name	Christopher W. Raimund	Registration No.	47,258

Telephone 202-861-3900

FEE TRANSMITTAL				Docket No.		4085-251-27		
				Serial No.		New Application		
				Filing Date		Herewith		
				Inventor(s)		Alison L. SPARKS		
				Group Art Unit				
TOTAL AMOUNT OF PAYMENT				\$1,446.00		Examiner		
1. <input type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.				FEE CALCULATION (continued)				
				3. ADDITIONAL FEES				
				Large Entity		Small Entity		Fee Description
2. <input checked="" type="checkbox"/> Check enclosed.				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid
FEE CALCULATION				105	130	205	65	Surcharge-late filing fee or oath
1. BASIC FILING FEE				127	50	227	25	Surcharge-late provisional filing fee or cover sheet
Large Entity		Small Entity		Fee Description				
Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid		
101	740	201	370	Utility filing fee		\$740.00		
106	330	206	165	Design filing fee				
107	510	207	255	Plant filing fee				
108	740	208	370	Reissue filing fee				
114	160	214	80	Provisional filing fee				
SUBTOTAL (1)				\$740.00		119	320	219
2. EXTRA CLAIM FEES				120	320	220	160	Appeal Brief
tot. claims		52	-	20*	=	32	x	\$18
					=	576		
ind. claims		3	-	3*	=	0	x	\$84
					=	0		
<input type="checkbox"/> Multiple Dependent Claims				\$280	=			
Large Entity		Small Entity		Fee Description		144	620	244
Fee Code	Fee (\$)	Fee Code	Fee (\$)			122	130	122
103	18	203	9	Claims in excess of 20		126	180	126
102	84	202	42	Independent claims in excess of 3		581	40	581
104	280	204	140	Multiple dependent claim, if not paid		179	740	279
109	84	209	42	*Reissue independent claims over original patent		169	900	169
110	18	210	9	*Reissue claims in excess of 20 and over original patent		OTHER (indicate below):		
SUBTOTAL (2)				\$576.00				
* or number previously paid, if greater; For Reissues, see above				SUBTOTAL (3)				\$130.00

Name	Steven B. Kelber	Registration No.	30,073
Signature		Date	JAN. 8, 2002
Name	Christopher W. Raimund	Registration No.	47,258
		Telephone	202-861-3900

DOCKET NO. 4085-251-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Alison L. SPARKS

ART UNIT:

SERIAL NO.: New Application

EXAMINER:

FILING DATE: Herewith

FOR: DENDRITIC CHEMILUMINESCENT SUBSTRATES

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

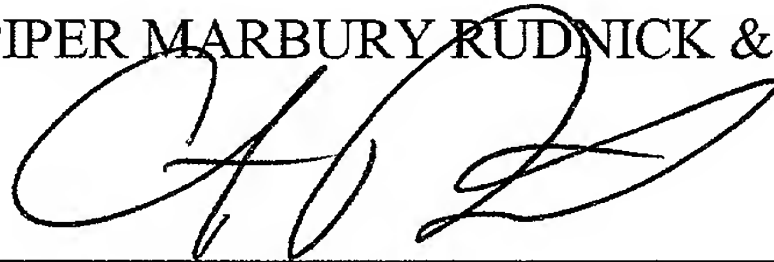
Listed below is the name and address of the inventor for the above-identified patent application.

Alison L. SPARKS
325 Johnson Street
North Andover, MA 01845

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIPER MARBURY RUDNICK & WOLFE LLP



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2008 OCT 22 09:00